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March 28, 2002

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**Box Missing Parts** 

Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Utility Patent Application

Appl. No. 09/942,583; filed August 31, 2001

For: Neisserial Vaccine Compositions and Methods

Inventors: Robinson *et al.*Our Ref: 1581.0840001/RWE

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

- 1. Fee Transmittal (Form PTO/SB/17);
- 2. Petition For Extension of Time Under 37 C.F.R. § 1.136(a)(1);
- Copy of Notice to File Missing Parts of Nonprovisional Application;
- 4. Combined Declaration for Patent Application and Power of Attorney with Delegation, executed by the inventors (16 sheets);
- 5. Certificate Under 37 C.F.R. § 3.73(b), executed on behalf of Microbiological Research Authority, with copy of Assignment attached;
- 6. Power of Attorney From Assignee With Delegation, executed on behalf of Microbiological Research Authority;
- 7. Certificate Under 37 C.F.R. § 3.73(b), executed on behalf of Imperial College Innovations Ltd., with copy of Assignment attached;

Sterne, Kessler, Goldstein & Fox PLIC: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skgf.com

Commissioner for Patents March 28, 2002 Page 2

- 8. Power of Attorney From Assignee With Delegation, executed on behalf of Imperial College Innovations Ltd.;
- 9. Certificate Under 37 C.F.R. § 3.73(b), executed on behalf of Public Health Laboratory Service Board, with copy of Assignment attached;
- 10. Power of Attorney From Assignee With Delegation, executed on behalf of Public Health Laboratory Service Board;
- 11. Return postcard; and
- 12. Our check no. 3466 for \$530.00 to cover:

\$130.00 Surcharge - late filing fee or declaration (37 C.F.R. § 1.16(e)); and 400.00 Extension for response with second month (37 C.F.R. § 1.17(a)(2)).

It is respectfully requested that the attached postcard be stamped with the filing date of these documents and returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert W. Esmond Attorney for Applicants Registration No. 32 893

Registration No. 32,893

RWE/dab
P:\USERS\DENISEB\1581\0841mpcov
Enclosures

Approved for use through 10/31/2002. OMB 0551-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

SIPE		Complete if Known			
/O`' È 🔊 FEE TRAN	NSMITTAL	Application Number	09/942,583		
1 for FY	7 2002	Filing Date	August 31, 2001		
MAR 2 8 2002 TOF FY	2002	First Named Inventor	Robinson et al.		
A Patent fees are subje	ect to annual revision	Examiner Name	(to be assigned)		
		Group Art Unit	(to be assigned)		
TOTAL AMOUNT OF PAYMENT	(\$)530.00	Attorney Docket No.	1581.0840001/RWE/MTT		

METHOD OF PAYMENT (check one)					FEE	CALCULATION (	continuea)	
The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:		3. ADDIT Large		FEES Small	Entity			
Deposit Account Number	0036	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee De	escription	Fee paid
Deposit Account Name	rne, Kessler, Goldstein & Fox P.L.L.C.	105	130	205	65	Surcharge - late filing for	ee or oath	130
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		127	50	227	25	Surcharge - late provisional	filing fee or cover sheet	i i
		139	130	139	130	Non-English specific	cation	
Applicant claims small entity status See 37 CFR 1.27		147	2,520	147	2,520	For filing a request fo	r <i>ex parte</i> reexamir	nation
		112	920*	112	920*	Requesting publication o action	f SIR prior to Examine	r
2. ⊠Payment Enclosed:		113	1,840*	113	1,840*	Requesting publication o	f SIR after Examiner a	ction
☐ Check ☐ Credit card ☐ Money Order ☐ Other*  *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.		115	110	215	55	Extension for reply within first month		
FEE CALCULATION		116	400	216	200	Extension for reply wi	ithin second month	400
1. BASIC FILING FEE		117	920	217	460	Extension for reply w	ithin third month	
Large Entity Small E	intity	118	1,440	218	720	Extension for reply w	ithin fourth month	
Fee Fee Fee F Code (\$) Code (	Fee Fee Description Fee Paid \$)	128	1,960	228	980	Extension for reply w	ithin fifth month	
101 740 201 3	370 Utility filing fee	119	320	219	160	Notice of Appeal		
106 330 206 1	165 Design filing fee	120	320	220	160	Filing a brief in suppo	ort of an appeal	
107 510 207 2	Plant filing fee	121	280	221	140	Request for oral hearing		
	Reissue filing fee	138	1,510	138	1,510	Petition to institute a	public use proceed	ing
114 160 214	80 Provisional filing fee	140	110	240	55	Petition to revive - un	avoidable	
01177771 111 101 0		141	1,280	241	640	Petition to revive - un		
SUBTOTAL (1) (\$) -0-		142	1,280	242	640	Utility issue fee (or reissue)		
		143	460	243	230	Design issue fee		
		144	620	244	310	Plant issue fee		
		122	130	122	130	Petitions to the Comm	nissioner	
2. EXTRA CLAIM FEE	S Fee from Extra below Fee Paid	123	130	123	130	Petitions related to pr	ovisional application	ons
Total Claims - 21		126	180	126	180	Submission of Inform	ation Disclosure St	mt
Total Claims 21** = X = Indep. Claims 3** = X =		581	40	481	40	Recording each patent assignment per property (times number of properties)		
Multiple Dependent =		146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))		
	ntity ee Fee Description	149	740	249	370	For each additional in (37 CFR 1.129(b))	vention to be exam	nined
Code (\$) Code (\$ 103 18 203 9		179	740	279	370	Request for Continue	ed Examination (RC	(E)
102 84 202 42	Independent claims in excess of 3	169	900	169	900	Request for expedited examination of a		
104 280 204 140	· , , , ,	Other fee (	specify) ·			design application		
patent 110 18 210 9 **Reissue claims in excess of 20 and over		· ·						
SUBTOTAL (2) (\$) -0-		Other fee (specify):  *Reduced by Basic Filing Fee Paid						
** or number previously paid, if greater, For Reissues, see above		SUBTOTAL (3) (\$) 530.00						0
SUBMITTED BY						Complete (if app	licable)	
Name (Print/Type) Robert W. Esmond			Re (Atto	Registration (Attorney/Agent)		32,893	2,893 Telephone 202-	
Signature Robert V. Sernond						8.3	Date .	03/28/2002





## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/942.583

08/31/2001

Andrew Robinson

1581.0840001

26111

STERNE, KESSLER, GOLDSTEIN & FOX PLLC 1100 NEW YORK AVENUE, N.W., SUITE 600 WASHINGTON, DC 20005-3934



CONFIRMATION NO. 9616
FORMALITIES LETTER
\*OC000000007130045\*

Date Mailed: 11/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/29/2002 SZEWDIE1 00000102 09942583

01 FC:105

130.00 OP